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FORM PTO-1082**TRANSMITTAL FOR NEW U.S. PATENT APPLICATION**

Assistant Commissioner
for Patents
Washington, D.C. 20231

BOX APPLICATIONS

Re: New U.S. Patent Application
For: METHOD AND APPARATUS FOR PROCESSING
DIGITAL AUDIO SIGNAL
Inventor(s): Toshiharu KUWAOKA
Attorney Docket: 0102/0187

J1050 U.S. PTO
09/986369
11/08/01

Sir:

Attached hereto is the application identified above, including 54 pages of textual specification including 12 claims, and 10 sheets of drawings.

The Government filing fee is calculated as follows:

(Col 1)		(Col 2)		(Col 3)	SMALL ENTITY		OR	NON-SMALL ENTITY	
NO. FILED				NO. EXTRA	RATE	FEE		RATE	FEE
TOTAL	12	minus	20		x9=	0		x18=	\$
INDEP	6	minus	3	3	x42=	0		x84=	\$252
First Presentation, Multiple Dependent Claims					+140=	0		+280=	\$
Base Filing Fee						\$370			\$740
TOTAL FILING FEE* (accounting for possible small entity status)						\$	OR TOTAL		\$992

☐ Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:

☐ is filed herewith;

☐ will be filed at a later date;

☐ was filed in the parent application.

☒ Foreign priority is claimed under 35 U.S.C. § 119 from Japanese Patent Application No. 2000-386957 filed December 20, 2000

☒ Priority document(s) will be submitted at a later date.

☐ Priority document(s) is/are submitted herewith.

- ☐ There is no claim to foreign priority under 35 U.S.C. § 119.
- ☒ Executed Declaration(s) is/are submitted herewith.
- ☐ Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).
- ☐ Formal drawing(s) is/are attached.
- ☒ Formal drawing(s) will be submitted at a later date.
- ☒ An Information Disclosure Statement, PTO-1449 and reference(s) cited therein is/are submitted.
- ☒ Assignment document(s) is/are submitted herewith, along with Form PTO-1595; the recordation fee of \$40.00 per document is enclosed herewith.
- ☒ A check in the amount of \$1,032.00 is enclosed. The Commissioner is hereby authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached.
- ☐ No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- ☒ The Commissioner is hereby authorized to charge any fee deficiency, except the filing fee, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.

Respectfully submitted,



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Date: Nov 8 2001